



NATIONAL STOCK EXCHANGE OF INDIA LIMITED

Department: SBU-Education

NCFM - COURSE OUTLINE

NSDL-Depository Operations Module

Overview of the Capital Market

Overview of relevant laws and regulations; the primary and the secondary market and the capital market intermediaries

Overview of NSDL

Organizational structure of NSDL, Features of the depository system, Legal framework; Bye-Laws & business rules of NSDL, NSDL system & connectivity

Business Partners of NSDL

The different business partners of NSDL, Joining procedure/steps, services; Records and reconciliation, rights & obligations; NSDL application software, the hardware requirements of business partners, the service standards, Benefits & safety

• Services Offered by Depository

Account opening of beneficiaries; Clearing members and intermediaries; Transmission & nomination; Dematerialization and rematerialization; Trading & settlement; Off-market transfers; Pay-in and payout procedures; Settlement of trades and precautions; Internet initiatives by NSDL.

Special Services

Pledging/ Hypothecation; Securities Lending & Borrowing; Corporate actions; Public issues; Debt instruments and G-Securities; NSC/KVP in Demat form; MAPIN and TIN

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